

12/11/97

Jc405 U.S. PTO

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PTO/SB/50 (12/97)  
Approved for use through 09/30/00. OMB 0651-0033  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Attorney Docket No.	1968 Reissue
First Named Inventor	Charles A. Finn, et al
Original Patent Number	5,474,551
Original Patent Issue Date (Month/Day/Year)	12/12/95
Express Mail Label No.	1B701309169US
Total Pages	58

APPLICATION FOR REISSUE OF:  
(check applicable box)

Utility Patent



Design Patent



Plant Patent

## APPLICATION ELEMENTS

- ☒ Fee Transmittal Form (PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification and Claims (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath / Declaration (original or copy)  
(37 CFR 1.175)(PTO/SB/51 or 52) (2)
- Original U.S. Patent  
☒ Offer to Surrender Original Patent (37 CFR 1.178)  
(PTO/SB/53 or PTO/SB/54)  
or  
☐ Ribbioned Original Patent Grant  
☐ Affidavit / Declaration of Loss (PTO/SB/55)
- Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53 or 54)  
☒ 37 CFR 3.73(b) Statement (3) ☒ Power of Attorney

## ACCOMPANYING APPLICATION PARTS

- ☐ Transfer drawings from Patent File
- ☐ Foreign Priority Claim (35 USC 119)  
(if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration  
(if applicable)
- ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☒ Other: **Written Consent of Inventor  
Certificate of Express Mailing**

## 15. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Neil D. Gershon					12/11/97	
	United States Surgical Corporation						
ADDRESS	150 Glover Avenue						
CITY	Norwalk	STATE	CT	ZIP CODE	06856		
COUNTRY	US	TELEPHONE	(203) 845-1480	FAX	(203) 846-5988		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles A. Finn, et al

REISSUE of

Patent No.: 5,474,551 Date of Issue: December 12, 1995

Filed: December 11, 1997 Group: 3309

Serial No.: To Be Assigned Examiner: Unknown

For: UNIVERSAL COUPLER FOR SPINAL FIXATION

REISSUE FILING FEE TRANSMITTALASST. COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

Sir:

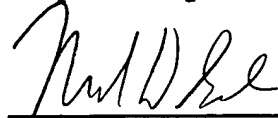
The Reissue Application filing fee is calculated below.

	No. Filed	No. Extra*	Rate:	Fee
Basic Fee:				\$ 790.00
Total Claims:	47 - 20 = 27		x 22.00	\$ 594.00
Indep Claims:	7 - 3 = 4		x 82.00	\$ 328.00
[ ] Multiple Dependent Claims Presented + \$270.00				\$ 0.00
<b>TOTAL:</b>				<b>\$1,712.00</b>

[x] Please charge Deposit Account No. 21-0550 in the amount of \$1,792.00 which includes filing fee and recordation fee).  
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[x] The Commissioner is hereby authorized to charge any additional fees which may be required for this application, or credit any overpayment to Deposit Account No. 21-0550.  
TWO DUPLICATE COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



Date: 12/11/97

Neil D. Gershon  
Reg. No. 32,225  
Attorney for Applicant

United States Surgical Corp.  
150 Glover Avenue  
Norwalk, Connecticut 06856  
(203) 845-1480



12/11/97

Jc405 U.S. PTO

Docket No. 1968 REISSUE

A/Reissue

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Charles A. Finn, et al

**REISSUE of**

Patent No.: 5,474,551 Date of Issue: December 12, 1995

Filed: December 11, 1997 Group: 3309

Serial No.: To Be Assigned Examiner: Unknown

For: **UNIVERSAL COUPLER FOR SPINAL FIXATION****CERTIFICATE OF EXPRESS MAILING**

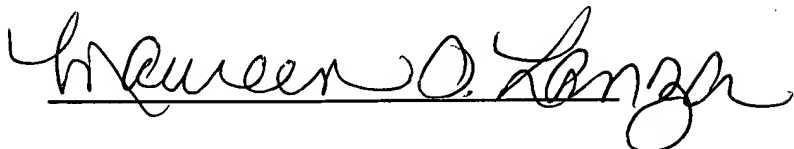
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Date of Deposit: December 11, 1997

I hereby certify that the following:

- ☒ This Certificate of Express Mailing
- ☒ Reissue Patent Application Transmittal letter
- ☒ A patent including 16 pages of abstract, specification, original claims and new claims
- ☒ 6 sheets of drawings
- ☒ Two (2) Reissue Oath/Declaration ☒ executed ☐ unexecuted
- ☒ Offer to Surrender by Inventor
- ☒ Offer to Surrender by Assignee
- ☒ Two (2) Assignments
- ☒ Two (2) Assignment Recordation Sheets
- ☒ Written Consent of all Assignees
- ☒ Written Consent of Inventors
- ☒ Three (3) Certificate under 37 C.F.R. §3.73(b)
- ☒ Reissue Filing Fee Transmittal
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to the Asst. Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.



UNITED STATES SURGICAL CORPORATION  
150 Glover Avenue  
Norwalk, CT 06856  
(203) 845-4603

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles A. Finn, et al Reissue of Patent No. 5,474,551  
Serial No: To Be Assigned Examiner: Unknown  
Filed: December 11, 1997 Group Art Unit: 3309  
For: **UNIVERSAL COUPLER FOR SPINAL FIXATION**

Asst. Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

United States Surgical Corporation, acting herein by Thomas R. Bremer, its Senior Vice President and General Counsel, certifies that it is the assignee of the right, title and interest in the patent application identified above by virtue of:

- A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frames \_\_\_\_.
- B. ☒ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:
1. Scott Sherman to Smith & Nephew Richards, Inc. by document recorded at Reel 7650, Frame 0074.
  2. Smith & Nephew, Inc. to United States Surgical Corporation by original executed Assignment enclosed herewith.
  3. United States Surgical Corporation to Surgical Dynamics, Inc. by original executed Assignment enclosed herewith.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned has reviewed all the documents in the chain of title in the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to act on behalf of the assignee.

By: Thomas R. Burns

Thomas R. Bremer  
Senior Vice President & General Counsel

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles A. Finn, et al

Patent No: 5,474,551

Examiner: Unknown

Issued: December 12, 1995

Group Art Unit: 3309

For: UNIVERSAL COUPLER FOR SPINAL FIXATION

Asst. Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Smith & Nephew, Inc., acting herein by the undersigned, certifies that it is the assignee of the right, title and interest in the patent application identified above by virtue of:

- A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frames \_\_\_\_.
- B. ☒ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:
1. Scott Sherman to Smith & Nephew Richards, Inc. by document recorded at Reel 7650, Frame 0074.
  2. Smith & Nephew, Inc. to United States Surgical Corporation by original executed Assignment enclosed herewith.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned has reviewed all the documents in the chain of title in the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SMITH & NEPHEW, INC.

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

**Joel Petrow**  
**Assistant Secretary**

Title: \_\_\_\_\_

Date: \_\_\_\_\_

DEC 1 1997